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U.S.  
PTOJ1060984102  
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U.S.  
PTO
**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 220002062900

First Inventor Dennis A. CARSON

Title IMMUNOLOGIC COMPOSITIONS AND METHODS FOR STUDYING AND TREATING CANCERS EXPRESSING FRIZZLED ANTIGENS

Express Mail Label No. EL719481847US

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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Laurie A. Axford

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

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|--|--|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)<br><i>(Submit an original, and a duplicate for fee processing)</i>  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  |  |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status.<br><i>See 37 CFR 1.27.</i>  | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i>  |  |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages <b>39</b> ] <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (<i>if filed</i>)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> | a. <input type="checkbox"/> Computer Readable Form (CRF)   |  |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <b>14</b> ]   | b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> |  |
| 5. <input type="checkbox"/> Oath or Declaration [Total Pages <b>2</b> ] <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Unsigned</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/> <i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br/>           Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</li> </ul> </li> </ul>   | c. <input type="checkbox"/> Statements verifying identify of above copies  |  |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76   | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))  |  |
|  | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement<br><i>(where there is an assignee)</i>   | <input type="checkbox"/> Power of Attorney       |
|  | 11. <input type="checkbox"/> English Translation document (if applicable)  | <input type="checkbox"/> Copies of IDS Citations |
|  | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449   |  |
|  | 13. <input type="checkbox"/> Preliminary Amendment   |  |
|  | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>Should be specifically itemized</i>   |  |
|  | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><i>(if foreign priority is claimed)</i>   |  |
|  | 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  |  |
|  | 17. <input type="checkbox"/> Other _____   |  |

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation       Divisional       Continuation-in-part (CIP)      of prior application No: \*
 

Prior application information.

Examiner \*      Group / Art Unit \*

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Labelor  Correspondence address below

25225

PATENT TRADEMARK OFFICE

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Name				
Address				
City	State		Zip Code	
Country	Telephone		Fax	
Name (Print/Type)	Laurie A. Axford	Registration No. 35,053	858-720-5133	
Signature				
	Date May 1, 2001			

# FEE TRANSMITTAL FOR FY 2001

*Patent fees are subject to annual revision.*

<b>TOTAL AMOUNT OF PAYMENT</b>	(\$ 689.00)	Attorney Docket No. <b>220002062900</b>
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**Complete if Known**

Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	Dennis A. CARSON
Examiner Name	Unassigned
Group Art Unit	Unassigned

**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-1952**

Deposit Account Name **Morrison & Foerster LLP**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

**FEE CALCULATION (continued)**
**3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

SUBTOTAL (1) **(\$ 355.00)**

**2. EXTRA CLAIM FEES**

Total Claims	26	- 20 =	6	X	9	=	\$ 54
Independent Claims	10	- 3 =	7	X	40	=	\$280

**Multiple Dependent**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claims, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) **(\$ 334.00)**

\*\* or number previously paid, if greater; For reissues, see above.

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$ )**

Complete (if applicable)

Name (Print/Type)	Laurie A. Axford	Registration No. (Attorney/Agent)	35,053
Signature		Telephone	858-720-5133

Date **May 1, 2001**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO "Commissioner for Patents, Washington, DC 20231".

SD-38044